

FORM E

CLARIFICATION FOR BUSINESS RELATIONSHIPS WITH INCREASED RISK OF PRIVATE CLIENTS

Sales partner
Broker
Number

Nature of the increased risk

- ☐ Investment target / total investment including all BB Wertmetall products from 100 000 CHF (inclusive)
- ☐ Business relationship with a politically exposed person (PEP) (e.g. members of parliament, public officials, senior officials in government, military or NGOs) or person close to them:
- ☐ Applicant is PEP ☐ Economic beneficiary is PEP ☐ Person with power of attorney is PEP
- ☐ Person related to one of aforementioned groups is PEP

Surname(s), related person	First name(s)	Date of birth
Tel. (mobile)	E-Mail	Nationality
Street, no.	Country code, zip	City/town
Political activity/office/function	Relationship	Civil status

- ☐ Unusual business relationship or transaction (e.g. business relationships with countries subject to sanctions)

Origin of the assets deposited

Where do the total assets deposited/to be deposited with BB Wertmetall AG come from?

- ☐ Professional activity ☐ Sale of real estate ☐ Capital gains ☐ Inheritance ☐ Wealth ☐ Gift

Other/more detailed explanations

The undersigned hereby undertake/undertakes to send BB Wertmetall AG a new Form E without being requested to do so in the event of additional payments originating from sources other than those indicated in this form.

Basis of the business relationship

For what purposes is the business relationship with BB Wertmetall AG established/maintained?

- ☐ Old age provision ☐ Protection against devaluation
- ☐ Diversification of assets ☐ Securing a mortgage

Other/more detailed explanations

Additional information on applicant/contracting partner A

Surname(s) (according to identity document)	First name(s)	Date of birth
Tel. (mobile)	E-Mail	Nationality
Street, no.	Country code, zip	City/town
Professional activity (if retired, professional activity before retirement)	Political activity/office/function	
Employer/own company (with address)	Industry	
Tax domicile address (if different from place of residence)	Second nationality	Civil status



Additional information on applicant/contracting partner B

Surname(s) (according to identity document)	First name(s)	Date of birth
Tel. (mobile)	E-Mail	Nationality
Street, no.	Country code, zip	City/town
Professional activity (if retired, professional activity before retirement)	Political activity/office/function	
Employer/own company (with address)	Industry	
Tax domicile address (if different from place of residence)	Second nationality	Civil status

Additional information on ☐ economic beneficiary (Form A) ☐ authorised representative

Surname(s) (according to identity document)	First name(s)	Date of birth
Tel. (mobile)	E-Mail	Nationality
Street, no.	Country code, zip	City/town
Professional activity (if retired, professional activity before retirement)	Political activity/office/function	
Employer/own company (with address)	Industry	
Tax domicile address (if different from place of residence)	Second nationality	Civil status

Additional information on ☐ economic beneficiary (Form A) ☐ authorised representative

Surname(s) (according to identity document)	First name(s)	Date of birth
Tel. (mobile)	E-Mail	Nationality
Street, no.	Country code, zip	City/town
Professional activity (if retired, professional activity before retirement)	Political activity/office/function	
Employer/own company (with address)	Industry	
Tax domicile address (if different from place of residence)	Second nationality	Civil status

Final declaration of the applicant(s)/contracting partner(s)

I/we hereby confirm the correctness of the above information and undertake to notify BB Wertmetall AG of any changes without being asked to do so.

Place, date	Signature of applicant/contracting partner A
Place, date	Signature of applicant/contracting partner B

Please note: If there are more than two economic beneficiaries deviating from the applicant(s)/contracting partner(s) or more than two authorised representatives, please complete several copies of Form E.

Checklist Form E

- ☐ First-time submission of Form E ☐ Additions to existing Form E (additional persons)
☐ Update of Form E (other source of newly introduced assets and/or replacement of older documents)

Forms without e-signatures should please be sent in the original to: BB Wertmetall AG, Grenchenstrasse 5b, CH-2504 Biel