

FORM A

DETERMINATION OF THE ECONOMIC BENEFICIARY/BENEFICIARIES OF PRIVATE CLIENTS

Sales partner
Broker
Number

BB Wertmetall AG is a financial intermediary within the meaning of art. 2, section 3 of the Anti-Money Laundering Act (AMLA). Based on art. 4 of the AMLA, BB Wertmetall AG is obliged to obtain a written declaration as to who is/are the economic beneficiary or beneficiaries of the assets traded and/or to be held in safe custody.

Applicant/contracting partner A

Surname(s) (according to identity document)	First name(s)	Date of birth
Tel. (mobile)	E-mail	Nationality
Street, no.	Country code, zip	City/town
		Civil status

Applicant/contracting partner B

Surname(s) (according to identity document)	First name(s)	Date of birth
Tel. (mobile)	E-mail	Nationality
Street, no.	Country code, zip	City/town
		Civil status

Declaration of the applicant(s)/contracting partner(s)

- ☐ The applicant(s)/contracting partner(s) is/are the economic beneficiary/beneficiaries.
- ☐ The applicant(s)/contracting partner(s) hereby declare(s) that the person(s) listed below is/are the economic beneficiary/beneficiaries of the assets booked under his/her/their name(s).

Economic beneficiary 1: name(s)	First name(s)	Date of birth
Tel. (mobile)	E-mail	Nationality
Street, no.	Country code, zip	City/town
		Civil status

Economic beneficiary 2: name(s)	First name(s)	Date of birth
Tel. (mobile)	E-mail	Nationality
Street, no.	Country code, zip	City/town
		Civil status

Please note: If, in total, there are more than two economic beneficiaries differing from the applicant(s)/contracting partner(s), please complete additional copies of Form A.

Economic beneficiaries of the assets can only be natural persons.

This declaration/this Form A does not constitute a power of attorney.

- ☐ Business relationship with a US citizen or US tax liability
- ☐ Applicant is a US citizen/has US tax liability ☐ Economic beneficiary is a US citizen/has US tax liability
- ☐ Authorised representative is a US citizen/has US tax liability
- ☐ Business relationship with a politically exposed person (PEP) or a person close to them
- ☐ Applicant is PEP ☐ Economic beneficiary is a PEP ☐ Authorised representative is a PEP
- ☐ Person close to the above-mentioned groups is a PEP



Origin of the assets deposited

Where do the total assets deposited/to be deposited with BB Wertmetall AG come from?

☐ Professional activity ☐ Sale of real estate ☐ Capital gains ☐ Inheritance ☐ Wealth ☐ Gift

Other sources and/or more detailed information

The undersigned hereby undertake/undertakes to send BB Wertmetall AG a new Form A without being asked to do so in the event of additional payments originating from sources other than those specified in this form.

Basis of the business relationship

For what purposes is the business relationship with BB Wertmetall AG established/maintained?

☐ Old age provision ☐ Protection against devaluation
☐ Diversification of assets ☐ Securing a mortgage

Other sources and/or more detailed information

Compliance with legal regulations/tax conformity

The applicant(s)/contracting partner(s) hereby confirm(s) compliance with the legal provisions applicable to him/them (including tax laws) with regard to all assets deposited or to be deposited and given into custody with BB Wertmetall AG. This includes in particular the confirmation that the respective assets are or will be properly taxed and that the tax and reporting obligations at the tax domicile have been or will be complied with.

Final declaration of the applicant(s)/contracting partner(s)

I/we undertake to notify BB Wertmetall AG of any changes without being asked to do so. I/we am/are aware that intentionally filling in this form incorrectly is a punishable offence (Art. 251 of the Swiss Penal Code, forgery of documents; penalty: imprisonment of up to five years or a fine).

Place, date	Signature of applicant/contracting partner A
Place, date	Signature of applicant/contracting partner B

Identification

Please note: All applicants/contractual partners and all economic beneficiaries must enclose identification (certified copy of ID, passport or driving licence) with Form A. This is valid for several transactions – as long as the person's appearance does not change. BB Wertmetall supports various forms of identification.

Checklist for Form A

1 2 1 = All applicants/contracting parties 2 = All economic beneficiaries differing from 1

- ☐ ☐ Online identification
- ☐ ☐ ID verification by a notary/public authority or identification by a financial intermediary – For persons residing in Switzerland, 'Yellow Identification' vouchers will be provided by any post office on request.
- ☐ ☐ Identification by appointment at the Service Centre of BB Wertmetall AG, Grenchenstrasse 5b, 2504 Biel
- ☐ ☐ Valid identification has already been submitted to BB Wertmetall AG
- ☐ First-time submission of Form A ☐ Additions to existing form A (additional persons)
- ☐ Updating of Form A (new sources of newly introduced assets and/or replacement of older documents)
- ☐ Form E (required for an investment target or total commitment incl. other BB Wertmetall products of CHF 100 000 or more, or in the case of Politically Exposed Persons/PEP)

Forms without e-signatures should please be sent in the original to: BB Wertmetall AG, Grenchenstrasse 5b, CH-2504 Biel