

FORM E

CLARIFICATION FOR BUSINESS RELATIONSHIPS WITH INCREASED RISK OF BUSINESS CLIENTS

Sales partner
Broker
Number

Nature of the increased risk

- ☐ Investment target / total investment including all BB Wertmetall products from 100 000 CHF (inclusive)
- ☐ Business relationship with a politically exposed person (PEP) (e.g. members of parliament, public officials, senior officials in government, military or NGOs) or person close to them:
- ☐ Legally authorised signatory is a PEP ☐ Economic beneficiary/trustor is a PEP
- ☐ Authorised signatory is a PEP ☐ Person close to one or more of the above groups is a PEP

Surname(s), related person	First name(s)	Date of birth
Tel. (mobile)	E-Mail	Nationality
Private: Street, no.	Country code, zip	Private: City / town
Political activity / office / function	Relationship with the company	Civil status

- ☐ Unusual business relationship or transaction (e.g. business relationships with countries subject to sanctions)

Origin of the assets deposited

Where do the total assets deposited/to be deposited with BB Wertmetall AG come from?

- ☐ Business activities ☐ Investment reserve/reserves ☐ Investment assets ☐ Donations/gifts

Other sources and/or more detailed information

In the event of additional payments from sources other than those stated in this form, the applicant/contracting partner hereby undertakes to send a new Form E to BB Wertmetall AG without being asked to do so.

Reason for the business relationship (please state all reasons for the business relationship with BB Wertmetall AG)

- ☐ Customer acquisition and participation in barter trade ☐ Diversification of assets
- ☐ Protection against devaluation ☐ Securing of credits

Other sources and/or more detailed information

Further information on the business client

Company name	UID or VAT registration number or tax identification number		
Street, no.	Country code, zip	Domicile	
Name(s) of legally authorised signatory 1	First name(s)	Date of birth	
Name(s) of legally authorised signatory 2	First name(s)	Date of birth	
Number of employees (including part time employees)	☐ 1 - 9 ☐ 10 - 49 ☐ 50 - 249 ☐ from 250	Year founded	
Principal purpose(s) of the company	Industry		
Branches/subsidiaries abroad			

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Further information on ☐ authorised signatory ☐ economic beneficiary (forms K/A)

Surname(s) (according to identity document)	First name(s)	Date of birth
Tel. (mobile)	E-Mail	Nationality
Private: Street, no.	Country code, zip	Private: City/town
Position in company (if retired, position before retirement)	Significant activities for other companies	
Political activity/office/function		
Tax domicile address (if different from place of residence)	Second nationality	Civil status

Further information on ☐ authorised signatory ☐ economic beneficiary (forms K/A)

Surname(s) (according to identity document)	First name(s)	Date of birth
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Private: Street, no.	Country code, zip	Private: City/town
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Political activity/office/function		
Tax domicile address (if different from place of residence)	Second nationality	Civil status

Please note: If there are more than three economic beneficiaries and authorised signatories (legally binding according to the entry in the Commercial Register/articles of association, and contractual according to signature regulations), please complete several forms E.

Final declaration of the applicant/contracting partner, represented by the legally authorised signatory/signatories

We hereby confirm the accuracy of the above information and undertake to notify BB Wertmetall AG of any changes without being requested to do so.

Place, date	Signature of legally authorised signatory 1
Place, date	Signature of legally authorised signatory 2

Checklist Form E

- ☐ First-time submission of Form E ☐ Additions to existing Form E (additional persons)
☐ Update of Form E (other source of newly introduced assets and/or replacement of older documents)

Forms without e-signatures should please be sent in the original to: BB Wertmetall AG, Grenchenstrasse 5b, CH-2504 Biel